

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by guarantee	Indian Non-Government company
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

AGM will be held on 3rd September, 2021.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	N	Support service to Organizations	N7	Other support services to organizations	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

ISIN of the equity shares of the company

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
---	----------------------

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
---	----------------------	---	----------------------

Ledger Folio of Transferor	<input type="text"/>
----------------------------	----------------------

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
----------------------------	----------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
---	----------------------	---	----------------------

Ledger Folio of Transferor		<input type="text"/>		
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	Surname	middle name	first name	
Ledger Folio of Transferee		<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	Surname	middle name	first name	

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

6,507,605

(ii) Net worth of the Company

80,258,212

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	0	0
Members (other than promoters)	51	47
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	0	2	0	2	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	12	0	11	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	12	0	11	0	0
Total	0	14	0	13	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

14

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Anay Khare	01444472	Director	0	
Srinivas Kanakagiri	00443793	Director	0	
Mahavir Lunawat	05281632	Nominee director	0	
Rajendra Naik	00004998	Nominee director	0	
Arjun Mehra	07297588	Nominee director	0	
Vikas Khattar	08237870	Nominee director	0	
Prem DCunha	08260092	Nominee director	0	
Amitabh Malhotra	01709234	Nominee director	0	
Alok Harlalka	02486575	Nominee director	0	
Mihir Mehta	07048203	Nominee director	0	
Prateek Indwar	08548077	Nominee director	0	
Srinivasan Arunachalam	02718602	Nominee director	0	
Venkatraghavan Sahasr	08234910	Nominee director	0	
Shankar V. Narayanan	AAYPS5042G	CEO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
T.R. Prashanth Kumar	02907823	Nominee director	16/04/2020	Cessation
Gesu Kaushal	03641530	Nominee director	18/05/2020	Cessation
Srinivasan Arunachalam	02718602	Nominee director	22/07/2020	Appointment
Venkatraghavan Sahasr	08234910	Nominee director	29/09/2020	Appointment
Sujaya Moghepadhye	08546753	Nominee director	24/03/2021	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	29/09/2020	49	17	

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	22/07/2020	12	10	83.33
2	07/09/2020	13	12	92.31
3	29/09/2020	14	12	85.71
4	28/03/2021	13	13	100

C. COMMITTEE MEETINGS

Number of meetings held

0

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
		(Y/N/NA)						
1	Anay Khare	4	4	100	0	0	0	
2	Srinivas Kanal	4	4	100	0	0	0	
3	Mahavir Lunav	4	4	100	0	0	0	
4	Rajendra Naik	4	4	100	0	0	0	

5	Arjun Mehra	4	4	100	0	0	0	
6	Vikas Khattar	4	4	100	0	0	0	
7	Prem DCunha	4	4	100	0	0	0	
8	Amitabh Malhotra	4	4	100	0	0	0	
9	Alok Harlalka	4	3	75	0	0	0	
10	Mihir Mehta	4	2	50	0	0	0	
11	Prateek Indwal	4	4	100	0	0	0	
12	Srinivasan Arun	3	3	100	0	0	0	
13	Venkatraghavan	2	2	100	0	0	0	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Shankar V. Narayan	CEO	2,700,000	0	0	0	2,700,000
	Total		2,700,000	0	0	0	2,700,000

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow

Associate Fellow

Certificate of practice number

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

AIBI- Members list-31-3-2021.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

ASSOCIATION OF INVESTMENT BANKERS OF INDIA

A-302, Kanakia Zillion, LBS Marg, Kurla (West) Mumbai-400 070

Tel:91-22-26542232/20862231

CIN NO:U91100MH1993NPL074024

AIBI MEMBERS LIST AS ON 31ST MARCH 2021

SR No	Name of Member	SR No	Name of Member
1)	A.K. Capital Services Ltd. 30-39, Free Press House 3rd Floor, Free Press Journal Marg 215, Nariman Point,Mumbai - 400 021. Membership No: AIBI/095	2)	Ambit Capital Private Limited Ambit RSM House 449, Senapati Bapat Marg,Lower Parel Mumbai - 400 013. Membership No: AIBI/090
3)	Anand Rathi Advisors Ltd. 11th Floor, Times Tower,Kamala City Senapati Bapat Marg,Lower Parel Mumbai - 400 013 Membership No: AIBI/003	4)	Ashika Capital Ltd. 1008, Raheja Centre, 10th Floor 214, Nariman Point Mumbai - 400 021. Membership No: AIBI/092
5)	Aventus Capital Private Ltd. The Il & Fs Financial Centre, 5th Floor, B Quadrant Bandra Kurla Complex, Bandra (E) Mumbai - 400 051. Membership No: AIBI/098	6)	Axis Capital Ltd. 1st Floor, AXIS House, C-2 Wadia International Centre P.B.Marg, Worli Mumbai - 400 025. Membership No: AIBI/020
7)	BNP Paribas, BNP Paribas House Bandra - Kurla Complex, Bandra (East)Mumbai 400 051. Membership No.: AIBI/125 Membership No: AIBI/020	8)	BOB Capital Markets Ltd. 1704, B Wing, 17 th Floor Parinee Crescenzo,Plot No.C- 38/39. G Block Bandra-Kurla Complex, Bandra (E) Mumbai - 400 051 Membership No: AIBI/009
9)	BofA Securities India Limited One BKC, A Wing G Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051 Membership No: AIBI/019	10)	BOI Merchant Bankers Ltd. Ground Floor, Star House-II, C-4g Block Bandra Kurla Complex, Bandra East Mumbai - 400 051. Membership No: AIBI/125
11)	Centrum Capital Ltd. Centrum House, Cst Road Vidya Nagari Marg, Kalina Santa Cruz East Mumbai - 400 098 Membership No: AIBI/087	12)	Chartered Finance Management Ltd. 2nd Floor, Wakefield House Sprott Road, Ballard Estate Mumbai - 400 038 Membership No: AIBI/121
13)	Choice Capital Advisors Pvt. Ltd. Shree Shakambhari Corporate Park, 156-158,Chakravarti Ashok Society, J.B. Nagar, Andheri (East) Mumbai - 400 099 Membership No.: AIBI/124	14)	Citigroup Global Markets India Pvt. Ltd. First International Financial Centre 14th Floor, Plot Nos C-54 And C-55 G-Block, Bandra Kurla Complex, Bandra (East),Mumbai - 400 051. Membership No: AIBI/094

ASSOCIATION OF INVESTMENT BANKERS OF INDIA

A-302, Kanakia Zillion, LBS Marg, Kurla (West) Mumbai-400 070

Tel:91-22-26542232/20862231

CIN NO:U91100MH1993NPL074024

AIBI MEMBERS LIST AS ON 31ST MARCH 2021

SR No	Name of Member	SR No	Name of Member
15)	Credit Suisse Securities (India) Pvt.Ltd. 9th Floor, Ceejay House Plot F, Shivsagar Estate Dr. Annie Besant Road, Worli Mumbai - 400 018 Membership No:AIBI/112	16)	DAM Capital Advisors Limited (Formerly IDFC Securities Limited) One BKC, Tower C, 15th Floor, Unit no 1511, Bandra Kurla Complex Bandra (East), Mumbai 400051. Membership No: AIBI/110
17)	Edelweiss Financial Services Ltd 14th Floor, Edelweiss House, Off. C.S.T.Road,Kalina Mumbai - 400 098 Membership No: AIBI/111	18)	Equirus Capital Private Limited Unit No.1201, 12 th Floor 'C' Wing Marathon Futurex N.M. Joshi Marg,Lower Parel, Mumbai – 400 013. Membership No: AIBI/133
19)	Ernst & Young Merchant Banking Services LLP The Ruby,14th Floor 29 Senapati Bapat Marg,Dadar (W) Mumbai - 400 028 Membership No: AIBI/028	20)	Gretex Corporate Services Ltd Office No. 13, 1 st Floor, New Bansilal Building, Raja Bahadur Mansion, 9-15, Homi Modi Street, Mumbai – 400 023. Membership No: AIBI/131
21)	HDFC Bank Ltd. Trade World, A Wing, First Floor, Kamala Mills Compound Senapati Bapat Marg, Lower Parel (W) Mumbai - 400 013. Membership No:AIBI/113	22)	HSBC Securities & Capital Markets (India) Pvt. Ltd. 52/53, Mahatma Gandhi Road, Fort Mumbai - 400 001. Membership No: AIBI/023
23)	ICICI Securities Ltd. ICICI CENTRE,7th FLOOR H. T. Parekh Marg, Churchgate Mumbai - 400 020. Membership No:AIBI/024	24)	IDBI Capital Markets Services Ltd. 6 th Floor, IDBI Tower, WTC Complex, Cuffe Parade, Mumbai- 400 005. Membership No: AIBI/088
25)	IIFL Holdings Ltd. 10th Floor, IIFL Centre Kamala City, Senapati Bapat Marg Lower Parel Mumbai - 400 013. Membership No: AIBI/127	26)	ITI Capital Ltd. Unit No.2102, Naman Midtown, 21st Floor, 'A' Wing,Dr. Ambedkar Nagar, Senapati Bapat Road, Elphinston (W) Mumbai - 400 013. Membership No: AIBI/118
27)	Jefferies India Private Limited 42/43, 2 North Avenue, Maker Maxity, Bandra-Kurla Complex (BKC), Bandra (East),Mumbai - 400 051. Membership No: AIBI/126	28)	JM Financial Ltd. 7 A Cnergy,Appasaheb Marathe Marg Prabhadevi,Mumbai 400 025 Mumbai - 400 0215. Membership No: AIBI/034

ASSOCIATION OF INVESTMENT BANKERS OF INDIA

A-302, Kanakia Zillion, LBS Marg, Kurla (West) Mumbai-400 070

Tel:91-22-26542232/20862231

CIN NO:U91100MH1993NPL074024

AIBI MEMBERS LIST AS ON 31ST MARCH 2021

SR No	Name of Member	SR No	Name of Member
29)	J.P. Morgan India Pvt. Ltd. J.P.Morgan Towers, 7th Floor Off. C.S.T. Road, Kalina, Santacruz-East,Mumbai - 400 098 Membership No: AIBI/035	30)	Karvy Investor Services Ltd. Karvy House, 46 Avenue 4 Street No. 1, Banjara Hills Hyderabad - 500 034. Membership No: AIBI/039
31)	Keynote Financial Services Ltd. The Ruby, 9th Floor Senapati Bapat Marg, Dadar (W) Mumbai - 400 028. Membership No: AIBI/040	32)	KJMC Corporate Advisors (India) Ltd. 168, Atlanta, 16th Floor Nariman Point Mumbai - 400 021. Membership No: AIBI/042
33)	Kotak Mahindra Capital Co. Ltd. 27 BKC, 1st Floor, Plot No.C-27 G' Block, Bandra Kurla Complex, Bandra (E) ,Mumbai - 400 051 Membership No: AIBI/043	34)	Morgan Stanley India Co. Pvt. Ltd. 18F, Tower 2 One Indiabulls Centre 841, Senapati Bapat Marg Mumbai – 400 013. Membership No: AIBI/106
35)	Motilal Oswal Investment Advisors Pvt. Ltd. Motilal Oswal Tower Junction Of Gokhale & Sayani Road Behind Parel St Depot, Prabhadevi Mumbai - 400 025. Membership No: AIBI/115	36)	Munoth Financial Services Ltd. Suite No. 46 & 47, Iii Floor No. 343, Triplicane High Road Chennai - 600 005. Membershin No: AIBI/051
37)	Nomura Financial Advisory and Securities (India) Pvt. Ltd. 11th Floor , Ceejay House, Dr Annie Besant Road, Worli , Mumbai 400 018 Membership No: AIBI/129	38)	Pantomath Capital Advisors Pvt. Ltd. 108 Madhava Premises, BKC, Near Family Court Mumbai - 400 051. Membership No: AIBI/123
39)	PNB Investment Services Ltd PNB Pragati Towers 2 nd Floor, C-9, G-Block Bandra Kurla Complex, Bandra (East) Mumbai – 400 051. Membership No: AIBI/128	40)	Rothschild & Co (India) Pvt Ltd. 103, 1st FLOOR, PIRAMAL TOWER Peninsula Corporate Park Ganpatrao Kadam Marg,Lower Parel Mumbai - 400 013. Membership No: AIBI/104

ASSOCIATION OF INVESTMENT BANKERS OF INDIA

A-302, Kanakia Zillion, LBS Marg, Kurla (West) Mumbai-400 070

Tel:91-22-26542232/20862231

CIN NO:U91100MH1993NPL074024**AIBI MEMBERS LIST AS ON 31ST MARCH 2021**

SR No	Name of Member	SR No	Name of Member
41)	Saffron Capital Advisors Private Ltd. Office No.605, Sixth Floor Centre Point,Andheri-Kurla Road, J.B.Nagar, Andheri (East) Andheri (East), Mumbai - 400 059. Membership No: AIBI/102	42)	SBI Capital Markets Ltd. 202, Maker Tower 'E' Cuffe Parade Mumbai - 400 005. Membership No: AIBI/055
43)	SMC Capitals Ltd. A-401/402, Lotus Corporate Park Jai Coach Junction, Off Western Express Highway Goregaon (East),Mumbai - 400 063. Membership No: AIBI/109	44)	Trust Investment Advsiors Pvt. Ltd. 109/110, Balarama, Bandra Kurla Complex Bandra (E) Mumbai - 400 051 Membership No: AIBI/103
45)	UBS Securities India Private Ltd. 2/F, North Avenue, Maker Maxity Bandra Kurla Complex, Bandra (East) Mumbai - 400 051 Phone: +91 22 61556180 Membership No: AIBI/119	46)	VIVRO Financial Services Pvt.Ltd 607 / 608, Marathon Icon, Veer Santaji Lane, Off Ganpatrao Kadam Marg, Lower Parel, Mumbai – 400 013 Membership No: AIBI/086
47)	YES Bank Ltd. YES Bank Tower IFC 2, 18th Floor, Elphinstone (W), Senapati Bapat Marg, Mumbai - 400 013. Membership No:AIBI/093		